

AUDITS FINALISED 2019/20

Head of Service	Audit Title	Date Finalised	Assurance Level	Recommendations		
				Made	Agreed	Not Agreed
Poverty & Prevention	Adult Prosperity & Wellbeing Service	11/06/19	High	4	4	0
Legal, Dem. Services & Business Intelligence	Coroner's Service	17/06/19	High	1	1	0
Property Services	Estates Management & Quadrant Rents	27/06/19	High	2	2	0
Adult Services	Flexible Support Service	01/05/19	High	3	3	0
Poverty & Prevention	Lifelong Learning Service	21/06/19	High	11	11	0
Fundamental Systems - S151	Pension Fund Administration	08/05/19	High	2	2	0
Financial Services & Service Centre	Purchase Card Administration	27/06/19	High	0	0	0
Highways & Transportation	Recovery of Abandoned Vehicles	25/04/19	High	5	5	0
Cultural Services	Special Events	14/05/19	High	5	5	0
Education Planning & Resources	St Joseph's Catholic Primary School	17/15/19	High	7	7	0
Education Planning & Resources	Bishopston Primary School	01/07/19	High	8	8	0
Financial Services & Service Centre	Insurance	05/07/19	High	3	3	0
Planning & City Regeneration	Economic Developmet Admin	21/08/19	High	4	4	0
Financial Services & Service Centre	Trusts & Charities	11/09/19	High	4	4	0
Poverty & Prevention	Community Safety	14/08/19	High	6	6	0
Highways & Transportation	CTU Fuel	23/08/19	High	8	8	0
Financial Services & Service Centre	Brexit	12/09/19	High	0	0	0
Financial Services & Service Centre	Taxation VAT	19/09/19	High	0	0	0
Adult Services	Business Support Team - Adult Services	25/09/19	High	9	9	0
Financial Services & Service Centre	Fairer Charging (Non Residential Care)	23/10/19	High	2	2	0
Education Planning & Resources	Pontarddulais Primary School	23/10/19	High	8	8	0
Chief Transformation Officer Audits	Welsh Translation Unit	28/10/19	High	7	7	0
Financial Services & Service Centre	Teachers Pensions 2019/20	29/10/19	High	0	0	0
Chief Transformation Officer Audits	Digital Strategy	30/10/19	High	2	2	0
Housing & Public Health	Tenancy Support Unit	11/11/19	High	3	3	0
Communications & Marketing	Communications & PR Team	21/11/19	High	6	6	0
Highways & Transportation	Road Safety	02/12/19	High	5	5	0
Housing & Public Health	Gorseinon District Housing Office	05/12/19	High	10	10	0
Education Planning & Resources	Pentrechwyth Primary School	18/12/19	High	10	10	0
Housing & Public Health	Town Centre District Housing Office	07/01/20	High	7	7	0
Financial Services & Service Centre	Pension Fund Other Transactions	13/01/20	High	3	3	0
Fundamental Audits	Housing Rents 2019/20	23/01/20	High	7	7	0
Building Services	Building Services - Control of Contracts	23/01/20	High	1	1	0

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Fundamental Audits	Pension Fund Investments 2019/20	04/02/20	High	0	0	0
Digital & Transformation	Change Control	03/03/20	High	1	1	0
Fundamental Audits	Employee Services 2019/20	03/03/20	High	5	5	0
Planning & City Regeneration	External Funding Team	04/03/20	High	0	0	0
Cultural Services	Community Buildings	09/03/20	High	3	3	0
Fundamental Audits	Pension Fund Administration 2019/20	16/03/20	High	0	0	0
Financial Services & Service Centre	Cashiers Office	27/03/20	High	2	2	0
Fundamental Systems - S151	Accounts Payable	03/04/19	Substantial	10	10	0
Housing & Public Health	Burials & Cremations - Swansea Crematorium	12/04/19	Substantial	10	10	0
Achievement & Partnership Service	Curriculum Support Unit & Welsh Service	12/06/19	Substantial	11	11	0
Adult Services	Fforestfach Day Service	17/04/19	Substantial	10	10	0
Poverty & Prevention	Info-Nation	12/04/19	Substantial	13	13	0
Housing & Public Health	Leashold Properties	04/04/19	Substantial	17	17	0
Education Planning & Resources	Morrison Primary School	11/06/19	Substantial	14	14	0
Housing & Public Health	Penlan DHO	12/06/19	Substantial	11	11	0
Planning & City Regeneration	Planning Services Admin & Fees	27/06/19	Substantial	14	14	0
Housing & Public Health	Pollution Control Division	20/05/19	Substantial	11	11	0
Achievement & Partnership Service	School Support Unit	11/06/19	Substantial	2	2	0
Highways & Transportation	Swansea Bus Station	14/05/19	Substantial	8	8	0
Planning & City Regeneration	Swansea Market	08/05/19	Substantial	14	14	0
Highways & Transportation	Transport Depot - Social Services	21/07/19	Substantial	12	12	0
Highways & Transportation	Transport Support	31/05/19	Substantial	2	2	0
Education Planning & Resources	Wanarlwydd Primary School	24/06/19	Substantial	10	10	0
Housing & Public Health	West Cross DHO	09/05/19	Substantial	13	13	0
Education Planning & Resources	Y.G.G. Pontybrenin	04/07/19	Substantial	13	13	0
Waste Management & Parks	Waste Management	05/07/19	Substantial	9	9	0
Education Planning & Resources	Crwys Primary	08/07/19	Substantial	13	12	1
Cultural Services	Libraries Administration	15/07/19	Substantial	9	9	0
Housing & Public Health	Licensing Division	06/08/19	Substantial	18	18	0
Education Planning & Resources	Y.G.G. Felindre	12/08/19	Substantial	0	0	0
Legal, Dem.Services & Business Intelligence	Legal Services Management of Risk	21/08/19	Substantial	5	5	0
Housing & Public Health	Food & Safety Division	06/09/19	Substantial	12	12	0
Child & Family Services	Emergency Duty Team	11/09/19	Substantial	11	11	0
Financial Services & Service Centre	Grants Receivable	17/09/19	Substantial	9	8	1
Communications & Marketing	Design Print	18/09/19	Substantial	5	5	0

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Housing & Public Health	Furnished Tenancy Scheme	19/09/19	Substantial	13	13	0
Education Planning & Resources	Penyrheol Primary School	20/09/19	Substantial	9	9	0
Communications & Marketing	Tourism & Marketing	02/10/19	Substantial	7	7	0
Education Planning & Resources	St Davids RCT Primary School	03/10/19	Substantial	16	16	0
Education Planning & Resources	Swansea Pupil Referral Units	03/10/19	Substantial	15	15	0
Housing & Public Health	Home Improvement Team	04/10/19	Substantial	5	5	0
Education Planning & Resources	Cadle Primary School	15/10/19	Substantial	8	8	0
Education Planning & Resources	Pen y Fro Primary School	16/10/19	Substantial	10	10	0
Education Planning & Resources	St Joseph's Cathedral Primary	21/10/19	Substantial	12	12	0
Education Planning & Resources	Clwyd Primary School	22/10/19	Substantial	7	7	0
Education Planning & Resources	Pennard Primary School	28/10/19	Substantial	14	14	0
Education Planning & Resources	Glyncollen Primary School	28/10/19	Substantial	6	6	0
Child & Family Services	Discretionary Payments	07/11/19	Substantial	23	23	0
Chief Transformation Officer Audits	Risk Management (Place)	07/11/19	Substantial	4	4	0
Highways & Transportation	Clydach Stores Depot	08/11/19	Substantial	7	7	0
Education Planning & Resources	Olchfa Comprehensive School	13/11/19	Substantial	18	18	0
Education Planning & Resources	Waun Wen Primary School	15/11/19	Substantial	4	4	0
Cross Cutting Audits	Ethics & Values	18/11/19	Substantial	106	106	0
Education Planning & Resources	Pengelli Primary School	20/11/19	Substantial	12	12	0
Education Planning & Resources	Morrison Comprehensive School	25/11/19	Substantial	19	19	0
Highways & Transportation	Advance Payments Code	10/12/19	Substantial	2	2	0
Education Planning & Resources	Grange Primary School	11/12/19	Substantial	4	4	0
Child & Family Services	Taxi Authorisations	13/12/19	Substantial	7	7	0
Education Planning & Resources	Ysgol Gyfun Gwyr	18/12/19	Substantial	12	12	0
Education Planning & Resources	Hafod Primary School	19/12/19	Substantial	10	10	0
Education Planning & Resources	Bishop Vaughan Catholic Comprehensive School	19/12/19	Substantial	14	14	0
Education Planning & Resources	Seaview Community Primary School	07/01/20	Substantial	6	6	0
Chief Transformation Officer Audits	Corporate Complaints	20/01/20	Substantial	6	6	0
Education Planning & Resources	sQuid System Review	23/01/20	Substantial	10	10	0
Education Planning & Resources	St Thomas Primary School	27/01/20	Substantial	10	8	2
Highways & Transportation	Civil Parking Enforcement	31/01/20	Substantial	12	12	0
Cultural Services	Grand Theatre & Glyn Vivian Catering	17/02/20	Substantial	2	2	0
Cross Cutting Audits	Information Governance	19/02/20	Substantial	12	12	0
Cultural Services	Cultural Services Review of Contracts	24/02/20	Substantial	2	2	0
Highways & Transportation	Car Parks Income Review (G4S)	28/02/20	Substantial	5	5	0

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Digital & Transformation	Physical and Environmental Controls	03/03/20	Substantial	6	6	0
Cultural Services	Sports Permits	16/03/20	Substantial	6	6	0
Highways & Transportation	Stores (Pipehouse Depot)	17/03/20	Substantial	10	10	0
Cross Cutting Audits	Safeguarding	24/03/20	Substantial	3	3	0
Fundamental Audits	Accounts Payable 2019/20	27/03/20	Substantial	19	19	0
Poverty & Prevention	Young People's Service	13/06/19	Moderate	26	26	0
Cultural Services	Foreshores & Lettings	31/07/19	Moderate	11	11	0
Waste Management & Parks	Cleansing Service	08/08/19	Moderate	12	12	0
Financial Services & Service Centre	Disclosure & Barring Service (DBS)	26/09/19	Moderate	12	12	0
Education Planning & Resources	Gwyrosydd Primary School	08/11/19	Moderate	23	23	0
Education Planning & Resources	Pen y Bryn Special School	11/11/19	Moderate	26	25	1
Building Services	Heol y Gors Depot - Plant	20/11/19	Moderate	14	14	0
Highways & Transportation	Fleet Maintenance	22/11/19	Moderate	15	14	1
Chief Transformation Officer Audits	Employment of Agency Staff	17/12/19	Moderate	9	9	0
Highways & Transportation	Concessionary Bus Fares	27/02/20	Moderate	5	5	0
Education Planning & Resources	Cwmglas Primary School	10/03/20	Moderate	22	22	0
Total				1098	1092	6

(Note: Audit Universe updated with all assurance ratings above for 2019/20 audits on 14/04/20)